

## **Item 1 Cover Page**

A.

### **Frederick Michael Hanish**

Republic Wealth Advisors

ADV Part 2B, Brochure Supplement

Dated: March 7, 2019

Contact: David H. Levy, Chief Compliance Officer  
4516 Seton Center Parkway, Suite 115  
Austin, Texas 78759

B.

**This Brochure Supplement provides information about Frederick Michael Hanish that supplements the Republic Wealth Advisors Brochure; you should have received a copy of that Brochure. Please contact David H. Levy, Chief Compliance Officer if you did not receive Republic Wealth Advisors' Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Frederick Michael Hanish is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Frederick Michael Hanish was born in 1955. Mr. Hanish graduated from St. John's University (Minnesota), with a Bachelor of Arts degree in Business Administration (Economics Core). Mr. Hanish is a Member and has been employed as an Investment Adviser Representative of Republic Wealth Advisors since September 2015. Mr. Hanish was a registered representative of Merriman Capital, Inc. from March 2016 through July 2016, and was a registered representative of Niagara International Capital Limited from September 2016 through July 2017. From December 2014 through September 2015, Mr. Hanish was a Director, DPP Representative of Avalon Wealth Management, LLC and a Director, Partner Solutions of Avalon Advisors, LLC. From October 2013 to March 2015, Mr. Hanish was the CEO of H.I.P Wealth Advisors. From June 2007 through October 2013, Mr. Hanish was a Financial Consultant of Hanish Investment Partners, LLC. From November 1994 through May 2007 Mr. Hanish was a Regional, Divisional and Managing Director with Russell Investments.

## **Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

Mr. Hanish earns annual compensation from the Registrant which is based, in part, on the amount of assets under Registrant's management, overall revenue collected by the Registrant, the number of clients introduced to the Registrant, and the number of clients being serviced by Mr. Hanish. Accordingly, Mr. Hanish has a conflict of interest when recommending that the Registrant provide investment advisory services, because the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, David H. Levy, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Levy is available at (512) 506-9395.

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### **Kenneth Joseph Landgraf**

Republic Wealth Advisors

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Contact: David H. Levy, Chief Compliance Officer  
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**Additional information about Kenneth Joseph Landgraf is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Kenneth Joseph Landgraf was born in 1962. Mr. Landgraf graduated from the University of Arkansas, with a Bachelor of Science degree in Business Administration. Mr. Landgraf is a Member and has been employed as an Investment Adviser Representative of Republic Wealth Advisors since February of 2001. Prior to that, he was Vice President, Chief Operations Officer, and Portfolio Manager of Capital Cities Asset Management (CCAM). Mr. Landgraf's other business experience includes Software Development Project Leader for Tenneco Gas (October 1991 – January 1995), Computer Analyst for Curtin Matheson Scientific (October 1990 – October 1991), and other technical positions in the energy industry (February 1985 – October 1990).

## **Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

Mr. Landgraf earns annual compensation from the Registrant which is based, in part, on the amount of assets under Registrant's management, overall revenue collected by the Registrant, the number of clients introduced to the Registrant, and the number of clients being serviced by Mr. Landgraf. Accordingly, Mr. Landgraf has a conflict of interest when recommending that the Registrant provide investment advisory services, because the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

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### **David Hersh Levy**

Republic Wealth Advisors

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Austin, Texas 78759

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**Additional information about David Hersh Levy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

David Hersh Levy was born in 1984. Mr. Levy graduated from The University of Texas at Austin, with a Bachelor of Business Administration degree in Finance. Mr. Levy is a Member and has been employed as an Investment Adviser Representative of Republic Wealth Advisors since January 2006. He also serves as the firm's Chief Compliance Officer.

Mr. Levy has been a CERTIFIED FINANCIAL PLANNER™ since January 2011. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 83,000 individuals have obtained CFP® certification.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in over 6 hour period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year) or two years of Apprenticeship experience that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

Mr. Levy earns annual compensation from the Registrant which is based, in part, on the amount of assets under Registrant's management, overall revenue collected by the Registrant, the number of clients introduced to the Registrant, and the number of clients being serviced by Mr. Levy. Accordingly, Mr. Levy has a conflict of interest when recommending that the Registrant provide investment advisory services, because the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

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**Patrick Thomas Moore**

Republic Wealth Advisors

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**Additional information about Patrick Thomas Moore is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Patrick Thomas Moore was born in 1960. Mr. Moore graduated from Texas Tech University, with a Bachelor of Business Administration degree in Management and an MBA degree. Mr. Moore was a Director of Republic Wealth Advisors from February 2010 through December 2011 and again since March 2017. From December 2011 through February 2017, Mr. Moore was a Client Advisor with Arvest Wealth Management.

## **Item 3 Disciplinary Information**

None.



#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

Mr. Moore earns annual compensation from the Registrant which is based, in part, on the amount of assets under Registrant's management, overall revenue collected by the Registrant, the number of clients introduced to the Registrant, and the number of clients being serviced by Mr. Moore. Accordingly, Mr. Moore has a conflict of interest when recommending that the Registrant provide investment advisory services, because the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

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