

## Item 1 Cover Page

A.

### **Kenneth Joseph Landgraf**

Republic Wealth Advisors

ADV Part 2B, Brochure Supplement

Dated: February 14, 2017

Contact: David H. Levy, Chief Compliance Officer  
4516 Seton Center Parkway, Suite 115  
Austin, Texas 78759

B.

**This Brochure Supplement provides information about Kenneth Joseph Landgraf that supplements the Republic Wealth Advisors Brochure; you should have received a copy of that Brochure. Please contact David H. Levy, Chief Compliance Officer if you did not receive Republic Wealth Advisors' Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Kenneth Joseph Landgraf is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Kenneth Joseph Landgraf was born in 1962. Mr. Landgraf graduated from the University of Arkansas, with a Bachelor of Science degree in Business Administration. Mr. Landgraf is a Member and has been employed as an Investment Adviser Representative of Republic Wealth Advisors since February of 2001. Prior to that, he was Vice President, Chief Operations Officer, and Portfolio Manager of Capital Cities Asset Management (CCAM). Mr. Landgraf's other business experience includes Software Development Project Leader for Tenneco Gas (October 1991 – January 1995), Computer Analyst for Curtin Matheson Scientific (October 1990 – October 1991), and other technical positions in the energy industry (February 1985 – October 1990).

## Item 3 Disciplinary Information

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("Act"). The Registrant's Chief Compliance Officer, David H. Levy, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Levy at (512) 506-9395.

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A.

### **David Hersh Levy**

Republic Wealth Advisors

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4516 Seton Center Parkway, Suite 115  
Austin, Texas 78759

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**Additional information about David Hersh Levy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

David Hersh Levy was born in 1984. Mr. Levy graduated from The University of Texas at Austin, with a Bachelor of Business Administration degree in Finance. Mr. Levy is a Member and has been employed as an Investment Adviser Representative of Republic Wealth Advisors since January 2006. He also serves as the firm's Chief Compliance Officer.

Mr. Levy has been a CERTIFIED FINANCIAL PLANNER™ since January 2011. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 76,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in over 6 hour period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year) or two years of Apprenticeship experience that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

## **Item 5 Additional Compensation**

None.

## **Item 6 Supervision**

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## **Item 1 Cover Page**

A.

**John Larry Langston**

Republic Wealth Advisors

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**Additional information about John Larry Langston is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

John Larry Langston was born in 1974. Mr. Langston received his Masters in Business Administration degree from Rollins College in 2007. Mr. Langston is a Member and has been employed as an Investment Adviser Representative of Republic Wealth Advisors since September 2015. Mr. Langston was a registered representative of Meriman Capital, Inc. from March 2016 through July 2016, and has been a registered representative of Niagara International Capital Limited since August 2016. From August 2013 through September 2015, Mr. Langston was a Managing Director, DPP Representative of Avalon Wealth Management, LLC and a Managing Director, Partner Solutions of Avalon Advisors, LLC. From January 2012 to July 2013, Mr. Langston was an Affiliate of Skybridge Salt, LLC, a General Securities Principal of Hastings Capital Group, LLC and a Director of Skybridge Capital II. From April 2011 through January 2012, Mr. Langston was an investment adviser representative of Salient Capital Advisors, LLC and from August 2009 through January 2012, Mr. Langston was a Regional Manager and registered representative of Salient Partners and Salient Capital, respectively.

Mr. Langston has held the designation of Chartered Alternative Investment Analyst (CAIA) since 2009. The CAIA designation, recognized globally, is administered by the Chartered Alternative Investment Analyst Association and requires a comprehensive understanding of core and advanced concepts regarding alternative investments, structures, and ethical obligations. To

qualify for the CAIA designation, finance professionals must complete a self-directed, comprehensive course of study on risk-return attributes of institutional quality alternative assets; pass both the Level I and Level II CAIA examinations at global, proctored testing centers; attest annually to the terms of the Member Agreement; and hold a US bachelor's degree (or equivalent) plus have at least one year of professional experience or have four years of professional experience. Professional experience includes full-time employment in a professional capacity within the regulatory, banking, financial, or related fields. Once a qualified candidate completes the CAIA program, he or she may apply for CAIA membership and the right to use the CAIA designation, providing an opportunity to access ongoing educational opportunities.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

A. **Registered Representative of Niagara International Capital Limited.** Mr. Langston is a registered representative of Niagara International Capital Limited, an SEC Registered and FINRA member broker-dealer (“Niagara”). Clients may therefore choose to engage Mr. Langston in his individual capacity as a registered representative of Niagara to implement investment recommendations on a commission basis.

1. **Conflict of Interest.** The recommendation by Mr. Langston that a client purchase a securities commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Langston. Clients are reminded that they may purchase investment products recommended by Mr. Langston through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, David Levy, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
2. **Commissions.** In the event the client chooses to purchase investment products through Niagara, brokerage commissions will be charged by Niagara to effect securities transactions, a portion of which commissions shall be paid by Niagara to Mr. Langston. The brokerage commissions charged by Niagara may be higher or lower than those charged by other broker-dealers. In addition, Niagara, as well as Mr. Langston, relative to commission mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. However, when Mr. Langston sells an investment product on a commission basis, Registrant does not charge an advisory fee in addition to the commissions paid by the client for such product. Further, when providing services on an advisory fee basis, Mr. Langston does not also receive commission compensation for such advisory services. The securities

commission business conducted by Mr. Langston is therefore separate and apart from Registrant's investment management services discussed in Registrant's Brochure.

In addition, since September 7, 2015, Mr. Langston has been a Managing Partner for Partner Solutions d/b/a Republic Capital Group, whereby he consults with asset managers and investment advisors regarding the creation and distribution of alternative investments.

- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("Act"). The Registrant's Chief Compliance Officer, David H. Levy, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Levy at (512) 506-9395.



## **Item 1 Cover Page**

A.

**Frederick M. Hanish**

Republic Wealth Advisors

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Dated: February 14, 2017

Contact: David Hersh Levy, Chief Compliance Officer  
4516 Seton Center Parkway, Suite 115  
Austin, Texas 78759

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**This Brochure Supplement provides information about Frederick M. Hanish that supplements the Republic Wealth Advisors Brochure; you should have received a copy of that Brochure. Please contact David H. Levy, Chief Compliance Officer if you did not receive Republic Wealth Advisors' Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Frederick M. Hanish is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Frederick M. Hanish was born in 1955. Mr. Hanish graduated from St. John's University (Minnesota), with a Bachelor of Arts degree in Business Administration (Economics Core). Mr. Hanish is a Member and has been employed as an Investment Adviser Representative of Republic Wealth Advisors since September 2015. Mr. Hanish was a registered representative of Meriman Capital, Inc. from March 2016 through July 2016, and has been a registered representative of Niagara International Capital Limited since September 2016. From December 2014 through September 2015, Mr. Hanish was a Director, DPP Representative of Avalon Wealth Management, LLC and a Director, Partner Solutions of Avalon Advisors, LLC. From October 2013 to March 2015, Mr. Hanish was the CEO of H.I.P Wealth Advisors. From June 2007 through October 2013, Mr. Hanish was a Financial Consultant of Hanish Investment Partners, LLC. From November 1994 through May 2007 Mr. Hanish was a Regional, Divisional and Managing Director with Russell Investments.

## **Item 3 Disciplinary Information**

None.

## Item 4 Other Business Activities

A. **Registered Representative of Niagara International Capital Limited.** Mr. Hanish is a registered representative of Niagara International Capital Limited, an SEC Registered and FINRA member broker-dealer (“Niagara”). Clients may therefore choose to engage Mr. Langston in his individual capacity as a registered representative of Niagara to implement investment recommendations on a commission basis.

1. **Conflict of Interest.** The recommendation by Mr. Hanish that a client purchase a securities commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Hanish. Clients are reminded that they may purchase investment products recommended by Mr. Hanish through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, David Levy, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
2. **Commissions.** In the event the client chooses to purchase investment products through Niagara, brokerage commissions will be charged by Niagara to effect securities transactions, a portion of which commissions shall be paid by Niagara to Mr. Hanish. The brokerage commissions charged by Niagara may be higher or lower than those charged by other broker-dealers. In addition, Niagara, as well as Mr. Hanish, relative to commission mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. However, when Mr. Hanish sells an investment product on a commission basis, Registrant does not charge an advisory fee in addition to the commissions paid by the client for such product. Further, when providing services on an advisory fee basis, Mr. Hanish does not also receive commission compensation for such advisory services. The securities commission business conducted by Mr. Hanish is therefore separate and apart from Registrant’s investment management services discussed in Registrant’s Brochure.

In addition, since September 28, 2015, Mr. Hanish has been a Managing Partner for Partner Solutions, whereby he consults with asset managers and investment advisors regarding the creation and distribution of alternative investments.

- A. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

## Item 5 Additional Compensation

None.

## **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("Act"). The Registrant's Chief Compliance Officer, David H. Levy, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Levy at (512) 506-9395.